

Genome-540 class 16

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HW7: D-segments Revisited

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- **Same input data** as for HW6 (file of read-start counts for chromosome 16)
- **Computing a new scoring scheme** for the read-start bins (0, 1, 2, and ≥ 3)
- **$S = -D = 5$**

HW7: D-segments Revisited

Output of HW6

There are 8,422,401 sites corresponding to sites with 'N' in the reference genome and read alignments cannot start at an 'N'.

```
Read start histogram for non-elevated copy-number segments:  
0=79164784  
1=8959527  
2=694340  
>3=49971  
  
Read start histogram for elevated copy-number segments:  
0=1027142  
1=255838  
2=40942  
>3=35702
```

Target

Background

Non-elevated Elevated Non-elevated
CN segment CN segment CN segment



HW7: D-segments Revisited

1. Create a scoring scheme (for each count value 0, 1, 2, 3) based on the background and target frequencies, using LLRs with base 2 logarithms

```
Read start histogram for non-elevated copy-number segments:
```

```
0=79164784  
1=8959527  
2=694340  
>3=49971
```

Removing 8,422,401 sites from bkgd[0]

```
Read start histogram for elevated copy-number segments:
```

```
0=1027142  
1=255838  
2=40942  
>3=35702
```

$\log_2(\text{freq_target}/\text{freq_background})$

```
Background frequencies:
```

```
0={#.####}  
1={#.####}  
2={#.####}  
>=3={#.####}
```

```
Target frequencies:
```

```
0={#.####}  
1={#.####}  
2={#.####}  
>=3={#.####}
```

```
Scoring scheme:
```

```
0={#.####}  
1={#.####}  
2={#.####}  
>=3={#.####}
```

HW7: D-segments Revisited

2. Write a program that uses the background frequencies above to simulate a sequence of read start counts. The length of this sequence should be the total length of the chromosome used in HW6 minus the number of N's (as given above).

Background

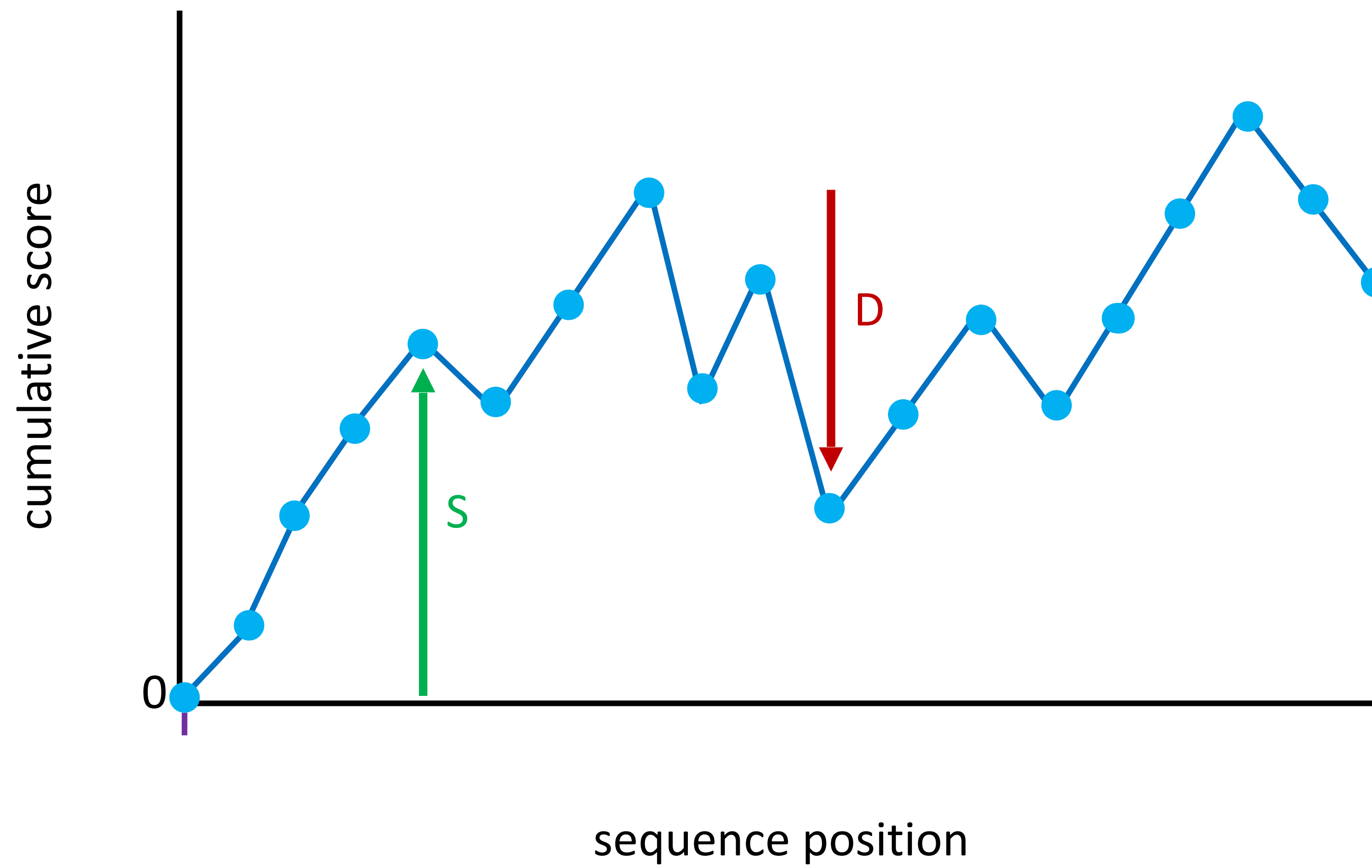
```
N = length of sequence to be simulated
bkgd[r] = frequency of background sites with r read starts (r = 0, 1, 2, 3).
for each i = 1...N
  x = random number between 0 and 1 (uniform distribution)
  if x < bkgd[0]
    sim_seq[i] = 0
  else if x < bkgd[0] + bkgd[1]
    sim_seq[i] = 1
  else if x < bkgd[0] + bkgd[1] + bkgd[2]
    sim_seq[i] = 2
  else
    sim_seq[i] = 3
```

HW7: D-segments Revisited

3. Run your maximal D-segment algorithm on the simulated count sequence with $S = -D = 5$ and the above scoring scheme. Report a list of pairs, giving for each integer score $s = 5, \dots, 30$ the number $N_{\text{seg}}(s)$ of D-segments with score $\geq s$.
4. Run your maximal D-segment algorithm on the 'real data' sequence of read starts used in assignment 6 with the above S and D values, scoring scheme, and list output.

We care about

{# of segments with score $\geq S$ }



Simulated data:

5 0

6 0

7 0

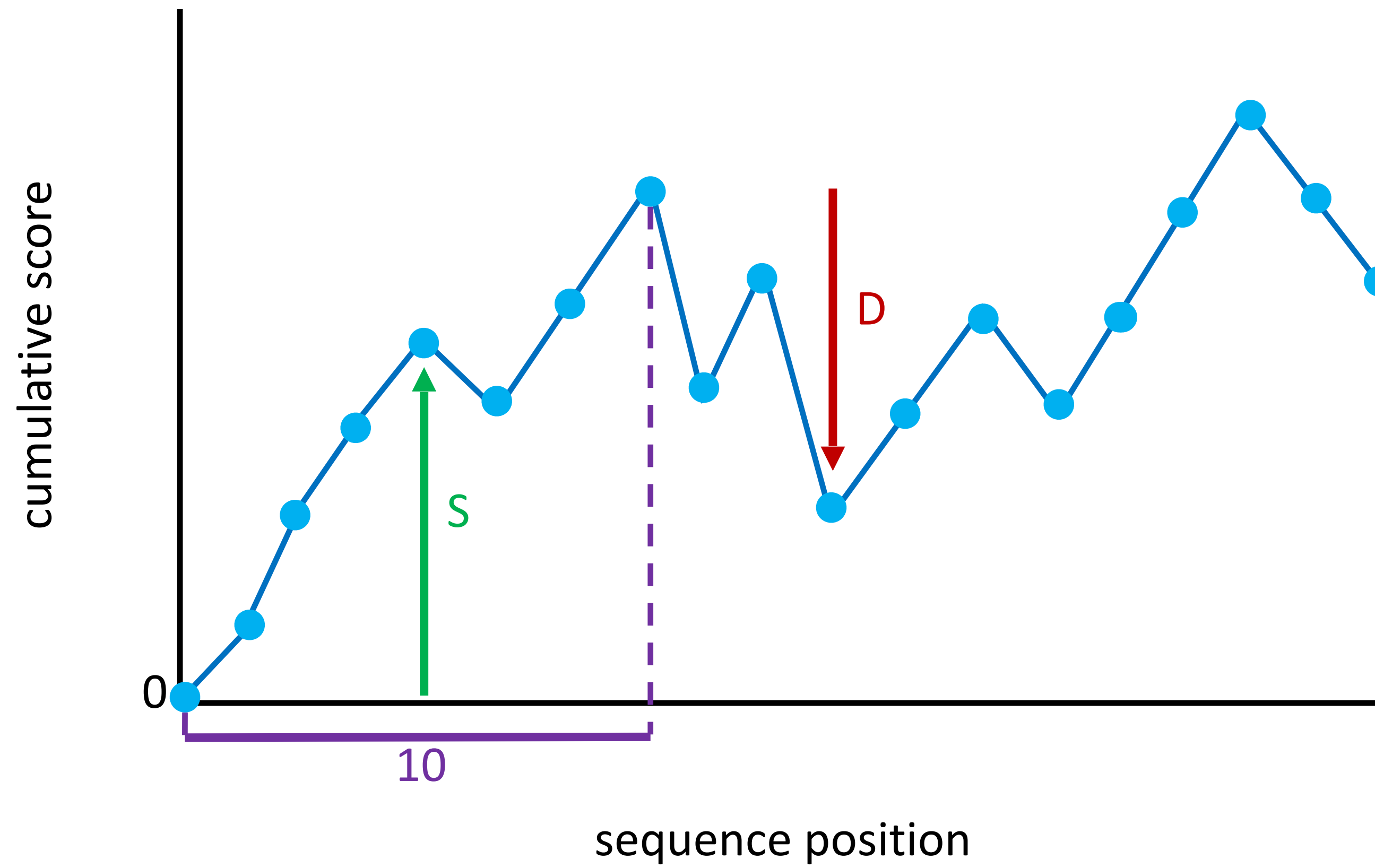
8 0

9 0

10 0

We care about

{# of segments with score $\geq S$ }



Simulated data:

5 1

6 1

7 1

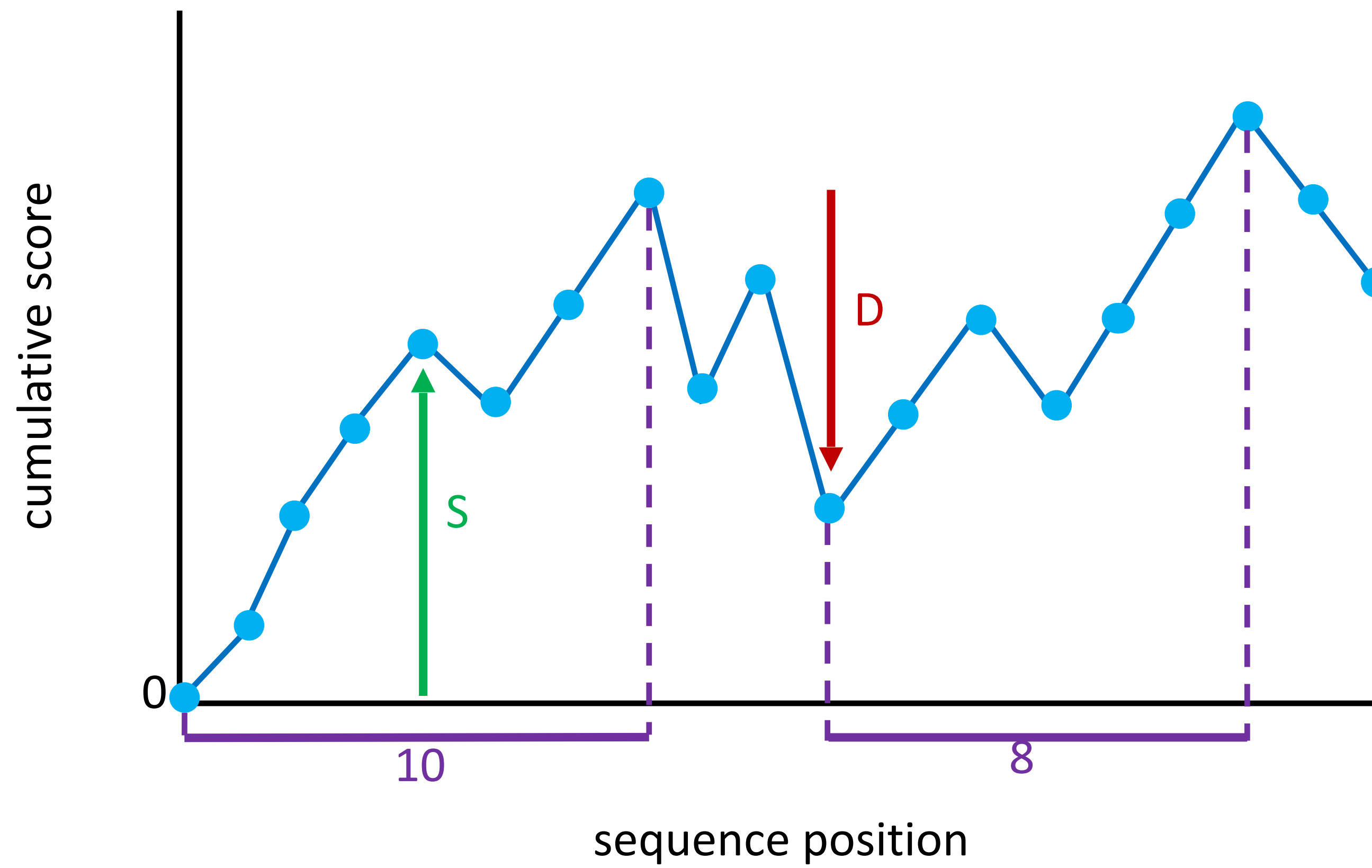
8 1

9 1

10 1

We care about

{# of segments with score $\geq S$ }



Simulated data:

5 2

6 2

7 2

8 2

9 1

10 1

HW7: D-segments Revisited

- **Output:**

- Background/Target frequencies, and scoring matrix
- Two lists of pairs, one for the original 'real' data and another for the simulated data. Each row should contain:
 - S-value
 - Number of D-segments found
- A list of ratios based on the simulated data:
 - Label each row $N_seg(S_i)/N_seg(S_{i+1})$
 - Ratio of $\#D-seg(S_i)/\#D-seg(S_{i+1})$ rounded to 2 dec.
 - If there is a 0 in the denominator of your ratio, print -1
- Brief written answers to the questions posed in the assignment text

Real data:

```
5 {# of segments with score >= 5}
6 {# of segments with score >= 6}
7 {# of segments with score >= 7}
.
.
```

Simulated data:

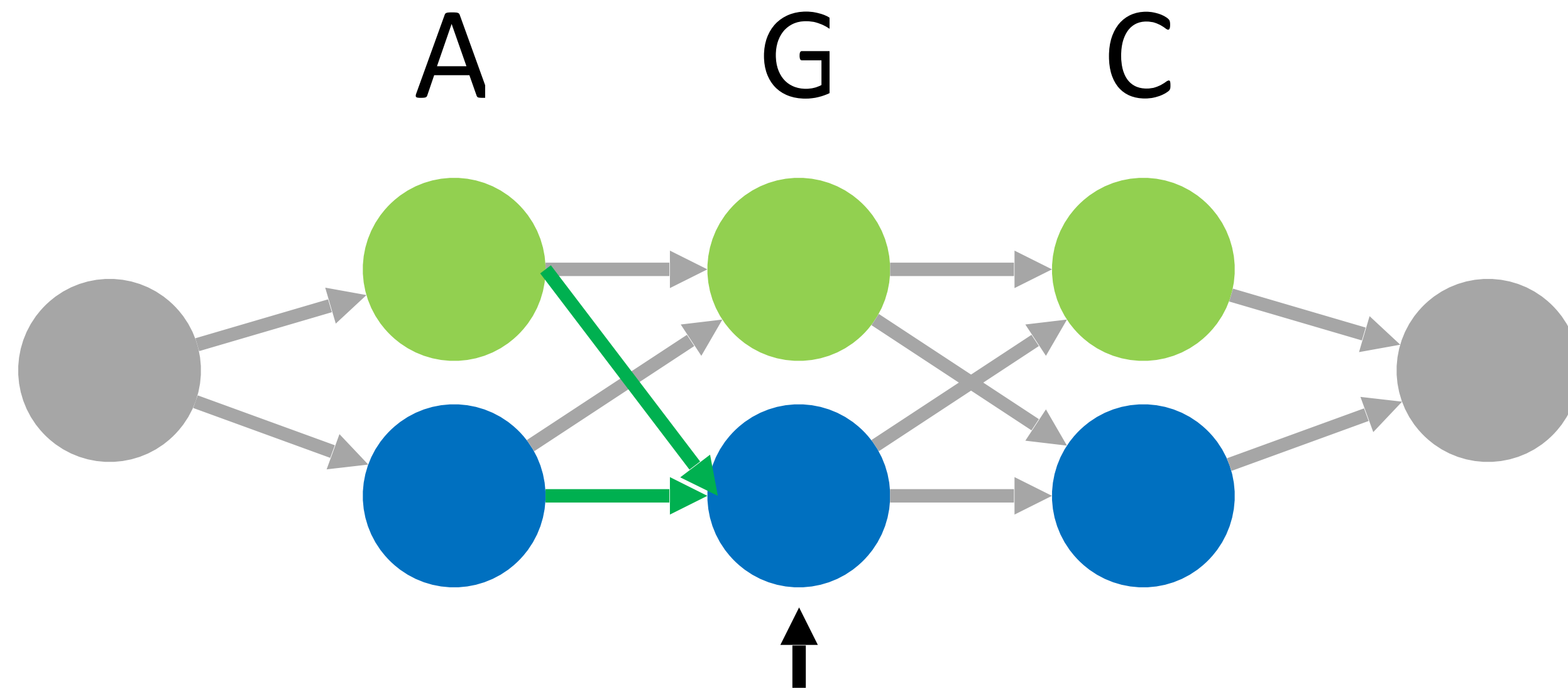
```
5 {# of segments with score >= 5}
6 {# of segments with score >= 6}
7 {# of segments with score >= 7}
.
.
```

Ratios of simulated data:

```
N_seg(5)/N_seg(6) {ratio}
N_seg(6)/N_seg(7) {ratio}
N_seg(7)/N_seg(8) {ratio}
.
.
```

HW7: Questions?

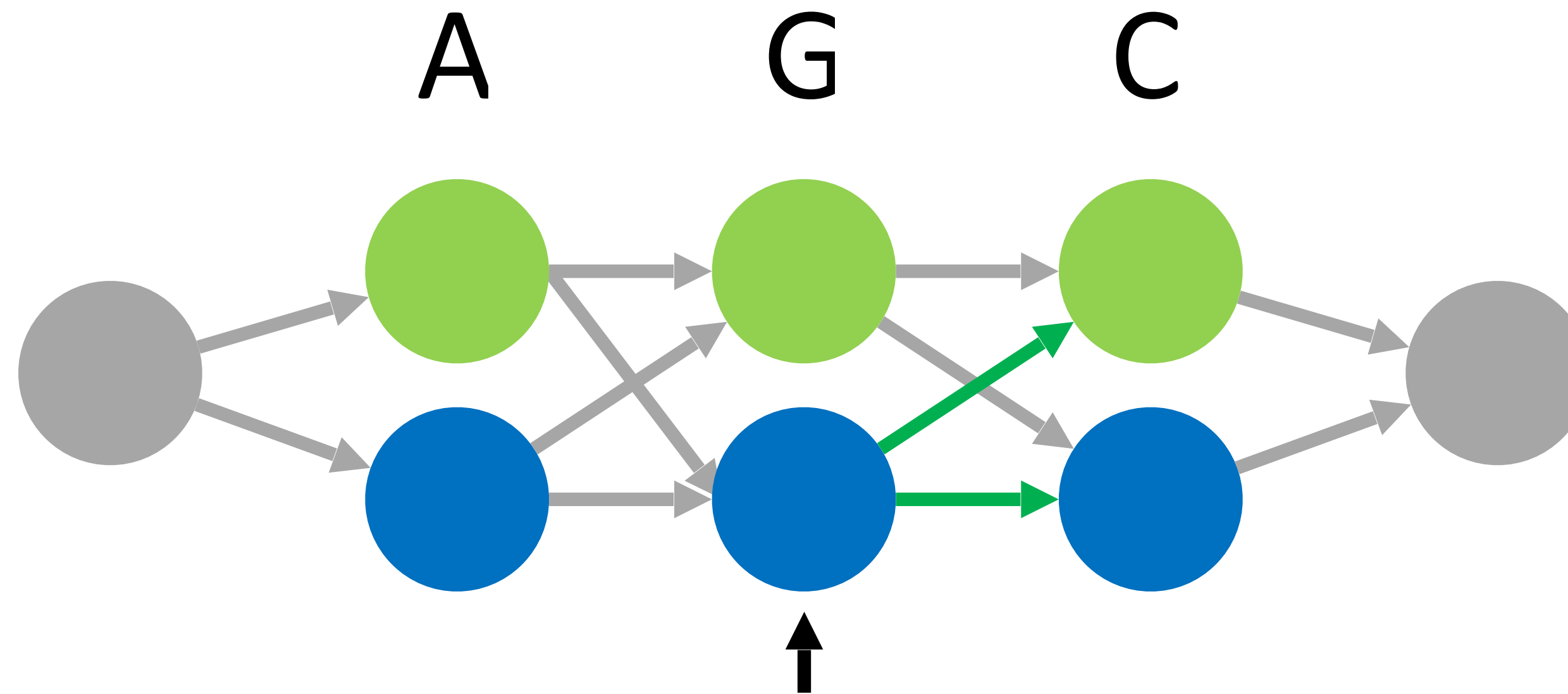
Forward-backward algorithm



For each node:

- Forward: store the sum of probabilities of paths ending at position t state i

Forward-backward algorithm



For each node:

- Forward: store the sum of probabilities of paths ending at position t state i
- Backward: store the sum of probabilities of paths starting at position t state i

Forward Algorithm

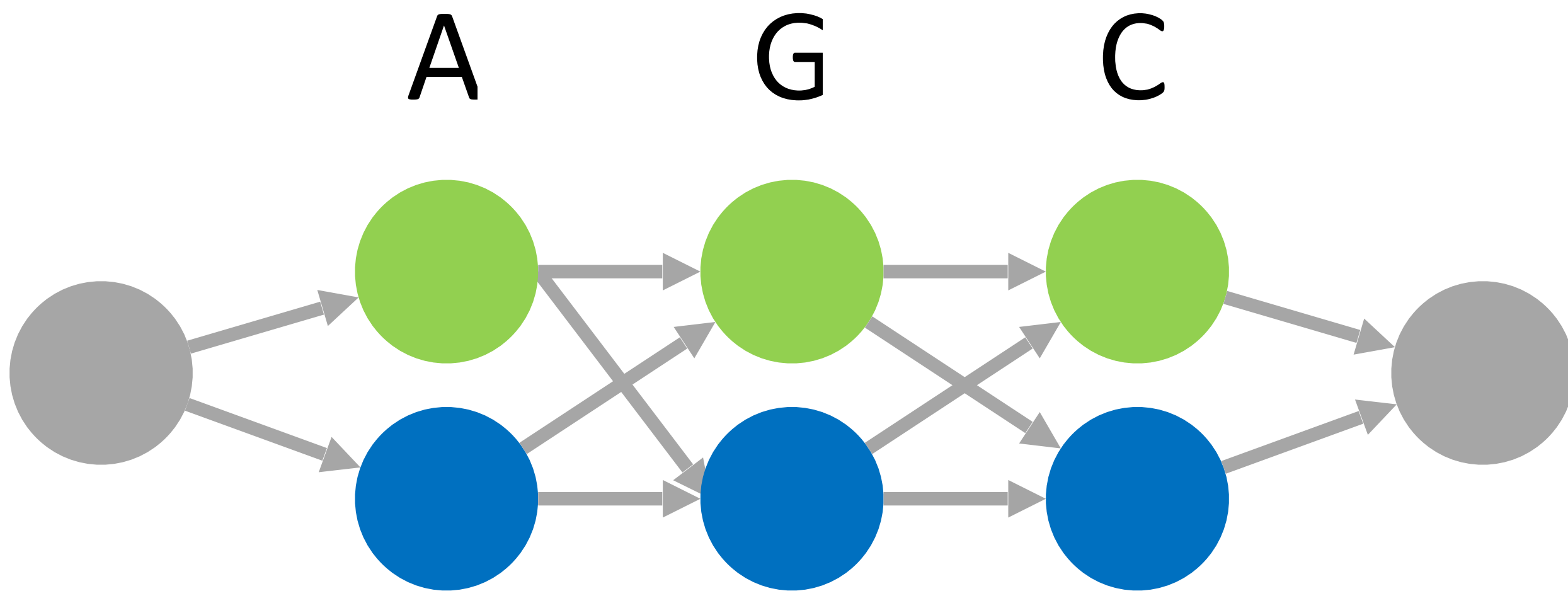
1. Initialization:

$$\alpha_1(i) = \pi_i b_i(O_1), \quad 1 \leq i \leq N$$

2. Induction:

$$\alpha_{t+1}(j) = \left[\sum_{i=1}^N \alpha_t(i) a_{ij} \right] b_j(O_{t+1}), \quad 1 \leq t \leq T-1, 1 \leq j \leq N.$$

Build a dynamic programming table for these calculations



	A	G	C
State 1			
State 2			

Backward Algorithm

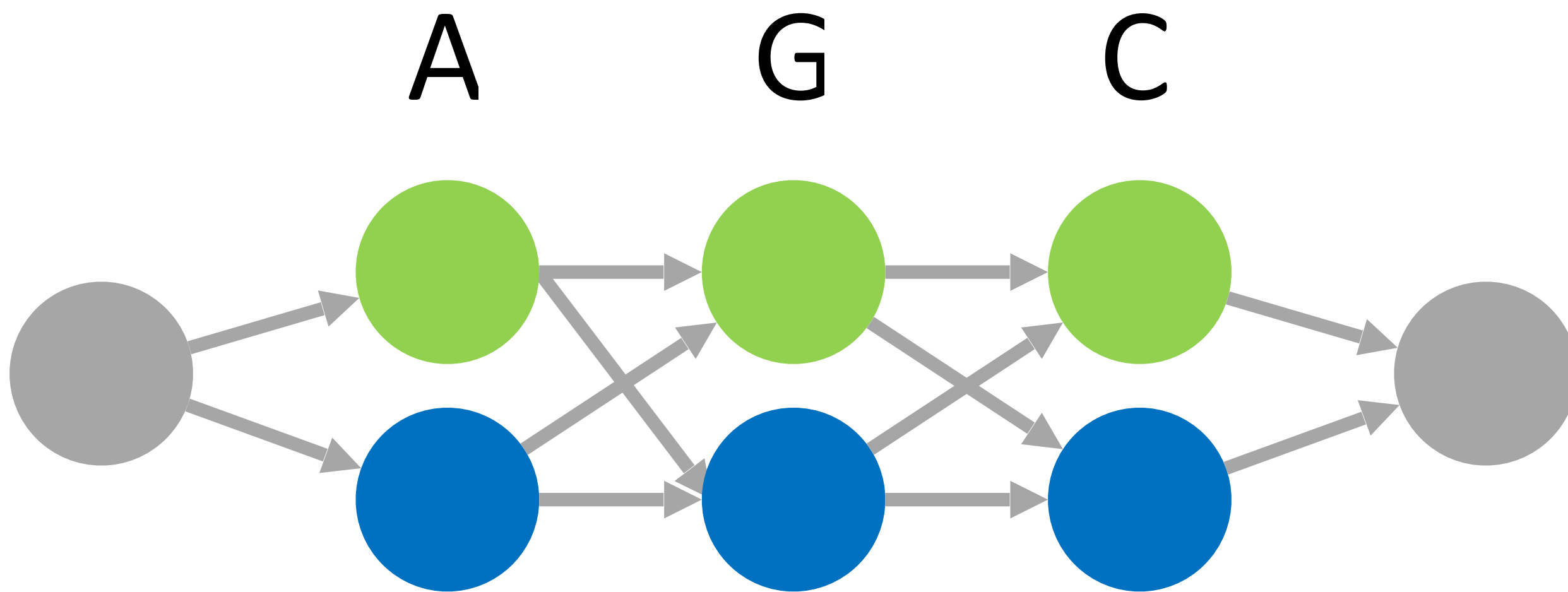
1. Initialization:

$$\beta_T(i) = 1, \quad 1 \leq i \leq N$$

2. Induction:

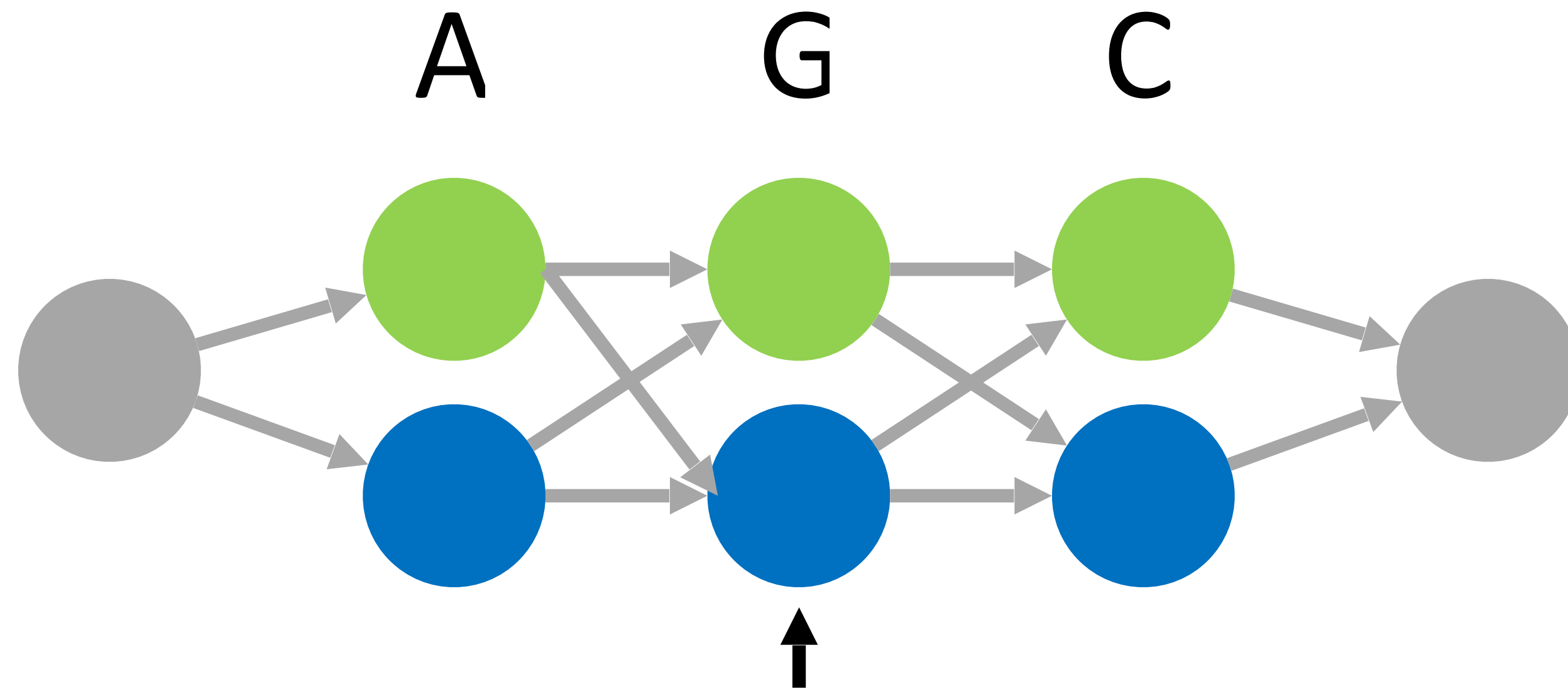
$$\beta_t(i) = \sum_{j=1}^N a_{ij} b_j(O_{t+1}) \beta_{t+1}(j), \quad 1 \leq t \leq T-1, 1 \leq j \leq N.$$

Build a dynamic programming table for these calculations



	A	G	C
State 1			
State 2			

Think about how to update parameters (A,B,Pi)

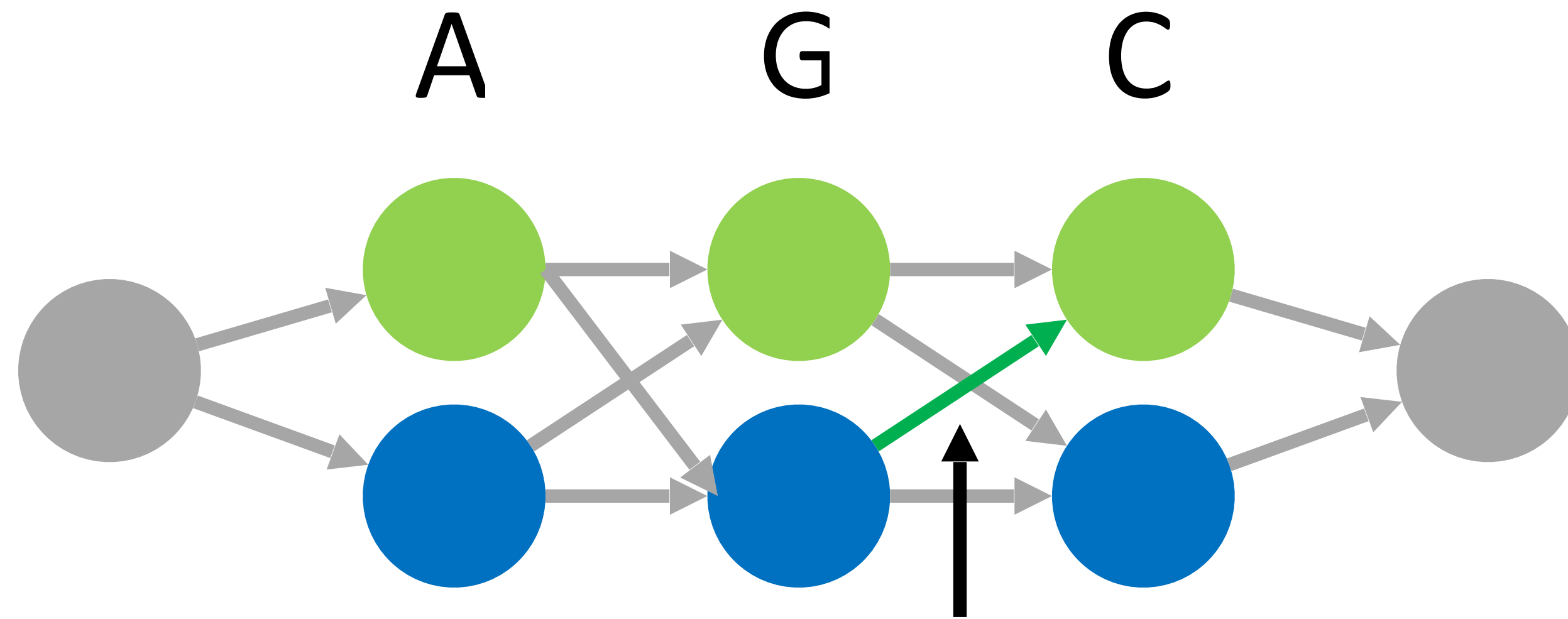


Consider the probabilities at each node:

- Figure out the probability of being in state i at position t

$$\gamma_t(i) = \frac{\alpha_t(i) \beta_t(i)}{P(O|\lambda)} = \frac{\alpha_t(i) \beta_t(i)}{\sum_{i=1}^N \alpha_t(i) \beta_t(i)}$$

Think about how to update parameters (A,B,Pi)



Consider the probabilities at each edge:

- Figure out the probability of going from state i to state t from position j to position $t+1$

$$\begin{aligned}\xi_t(i, j) &= \frac{\alpha_t(i) a_{ij} b_j(O_{t+1}) \beta_{t+1}(j)}{P(O|\lambda)} \\ &= \frac{\alpha_t(i) a_{ij} b_j(O_{t+1}) \beta_{t+1}(j)}{\sum_{i=1}^N \sum_{j=1}^N \alpha_t(i) a_{ij} b_j(O_{t+1}) \beta_{t+1}(j)}\end{aligned}$$

Think about how to update parameters (A,B,Pi)

$\bar{\pi}_i$ = expected frequency (number of times) in state S_i at time $(t = 1) = \gamma_1(i)$

\bar{a}_{ij} = $\frac{\text{expected number of transitions from state } S_i \text{ to state } S_j}{\text{expected number of transitions from state } S_i}$

$$= \frac{\sum_{t=1}^{T-1} \xi_t(i, j)}{\sum_{t=1}^{T-1} \gamma_t(i)}$$

$\bar{b}_j(k)$ = $\frac{\text{expected number of times in state } j \text{ and observing symbol } v_k}{\text{expected number of times in state } j}$

$$= \frac{\sum_{t=1}^T \gamma_t(j) \text{ s.t. } O_t = v_k}{\sum_{t=1}^T \gamma_t(j)}$$

Underflow - this is very important

COURSE-RELATED MATERIALS:

- This happens when numbers are too small to be stored in a variable

Solutions:

- Scale weights to be close to 1 (affects all paths by same constant factor – which can be multiplied back later)
- Use log weights, so can add instead of multiplying
- Ex: Instead of $0.0001 * 0.0002$, you can do:

$$\log(0.0001) + \log(0.0002)$$

What about when you need to sum probabilities in logspace? See this blogpost for a solution or Tobias Mann

<https://gasstationwithoutpumps.wordpress.com/2014/05/06/sum-of-probabilities-in-log-prob-space/>

- [Math Notation](#)
- [Biological Review Slides](#): Gene and genome structure in prokaryotes and eukaryotes databases.
- [Nature paper on human genome sequence](#)
- [Nature paper on mouse genome sequence](#)
- [Siepel et al. paper on PhyloHMMs & sequence conservation](#)
- [Rabiner tutorial on HMMs](#)
- [HMM scaling tutorial \(Tobias Mann\)](#)

Scale

Forward

- Initialization

$$\begin{aligned}\ddot{\alpha}_1(i) &= \alpha_1(i) \\ c_1 &= \frac{1}{\sum_{i=1}^N \ddot{\alpha}_1(i)} \\ \hat{\alpha}_1(i) &= c_1 \ddot{\alpha}_1(i)\end{aligned}$$

- Induction

$$\begin{aligned}\ddot{\alpha}_t(i) &= \sum_{j=1}^N \hat{\alpha}_{t-1}(j) a_{ji} b_i(O_t) \\ c_t &= \frac{1}{\sum_{i=1}^N \ddot{\alpha}_t(i)} \\ \hat{\alpha}_t(i) &= c_t \ddot{\alpha}_t(i)\end{aligned}$$

	A	C	G
State 1			
State 2			

c1

c2

c3

$$\hat{\alpha}_t(i) = \left(\prod_{\tau=1}^t c_\tau \right) \alpha_t(i).$$

$$C_t = \prod_{\tau=1}^t c_\tau$$

$$\log[P(O|\lambda)] = - \sum_{t=1}^T \log c_t.$$

Scale

Backward

- Initialization

$$\begin{aligned}\ddot{\beta}_T(i) &= 1 \\ \hat{\beta}_T(i) &= c_T \ddot{\beta}_T(i)\end{aligned}$$

$$\hat{\beta}_t(i) = \left(\prod_{s=t}^T c_s \right) \beta_t(i) = \mathbf{D}_t \beta_t(i),$$

- Induction

$$\begin{aligned}\ddot{\beta}_t(i) &= \sum_{j=1}^N a_{ij} b_j(O_{t+1}) \hat{\beta}_{t+1}(j) \\ \hat{\beta}_t(i) &= c_t \ddot{\beta}_t(i)\end{aligned}$$

Scale

$$\begin{aligned}
 \bar{a}_{ij} &= \frac{\sum_{t=1}^{T-1} \xi_t(i, j)}{\sum_{t=1}^{T-1} \gamma_t(i)} \\
 &= \frac{\sum_{t=1}^{T-1} \alpha_t(i) a_{ij} b_j(O_{t+1}) \beta_{t+1}(j)}{\sum_{t=1}^{T-1} \alpha_t(i) \beta_t(i)} \\
 &= \frac{\sum_{t=1}^{T-1} \hat{\alpha}_t(i) / \mathbf{C}_t \cdot a_{ij} b_j(O_{t+1}) \cdot \hat{\beta}_{t+1}(j) / \mathbf{D}_{t+1}}{\sum_{t=1}^{T-1} \hat{\alpha}_t(i) / \mathbf{C}_t \cdot \hat{\beta}_t(i) / \mathbf{D}_t} \\
 &= \frac{\left(\sum_{t=1}^{T-1} \hat{\alpha}_t(i) \cdot a_{ij} b_j(O_{t+1}) \cdot \hat{\beta}_{t+1}(j) \right) / \mathbf{C}_T}{\left(\sum_{t=1}^{T-1} \hat{\alpha}_t(i) \cdot \hat{\beta}_t(i) / c_t \right) / \mathbf{C}_T} \\
 &= \frac{\sum_{t=1}^{T-1} \hat{\alpha}_t(i) \cdot a_{ij} b_j(O_{t+1}) \cdot \hat{\beta}_{t+1}(j)}{\sum_{t=1}^{T-1} \hat{\alpha}_t(i) \cdot \hat{\beta}_t(i) / c_t}.
 \end{aligned}$$

$$\begin{aligned}
 \bar{b}_j(k) &= \frac{\sum_{t=1, O_t=v_k}^T \gamma_t(j)}{\sum_{t=1}^T \gamma_t(j)} \\
 &= \frac{\sum_{t=1, O_t=v_k}^T \hat{\alpha}_t(j) \cdot \hat{\beta}_t(j) / c_t}{\sum_{t=1}^T \hat{\alpha}_t(j) \cdot \hat{\beta}_t(j) / c_t}.
 \end{aligned}$$

initial prob

$$r1(i) = a1(i) * b1(i) / c1$$

$$\log[P(O|\lambda)] = - \sum_{t=1}^T \log c_t.$$

HW8: detecting G+C-rich regions (Baum-Welch)

- Due 11:59pm Sunday, March 6
- Assignment: use Baum-Welch algorithm to identify G+C-rich regions in a genome sequence
 - Input: FASTA
 - Run Baum-Welch until the increase in sequence log-likelihood is less than 0.1
 - Output:
 - Name and first line of the FASTA file
 - Number of iterations until convergence
 - Final sequence log-likelihood
 - Final probabilities (initial, transition, emission)
 - Scientific notation, four significant digits (i.e., 9.000e-1; see template)

$$\log[P(O|\lambda)]$$

Notes for debugging

1. Try calculating some simple forward and backward probabilities by hand to check your algorithm
- 2. The likelihood at each iteration should increase; if it decreases, then you have a bug**
3. Have a print statement in your program to keep track of iterations as your program is running. The assignment will provide an estimate on the number of iterations to converge.